



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of )

Christopher D. COLEY et al. )

Application No.: 08/595,957 )

Filed: February 6, 1996 )

For: FIREWALL SYSTEM FOR PROTECTING )  
NETWORK ELEMENTS CONNECTED )  
TO A PUBLIC NETWORK )

Group Art Unit: Unassigned

Examiner: Unassigned

#3

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

**BOX: MISSING PART**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(d) dated March 28, 1996, enclosed please find:

- ☒ [X] a Combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of ☒ [X] \$65.00 ☐ [ ] \$130.00 as set forth in 37 C.F.R. § 1.16(e);
- ☒ [X] a Declaration Claiming Small Entity Status;
- ☐ [ ] a Petition for Extension of Time;
- ☐ [ ] a verified English translation of the Application, and the \$130.00 fee as set forth in 37 C.F.R. § 1.17(k);
- ☒ [X] an Assignment document and the \$40.00 Assignment Recording Fee;
- ☐ [ ] other \_\_\_\_\_;
- ☒ [X] a check in the amount of \$ 883.00 for the fee due; and
- ☐ [ ] charge \$ \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.


The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in triplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: April 8, 1996

By:   
Robert J. Worrall  
Registration No. 37,969

[ ] A check in the amount of \$ 0.00 is enclosed for the fee due.

Respectfully submitted,  
BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: Robert J. Worrall  
Robert J. Worrall  
Registration No. 37,969

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: February 6, 1996

\*\*\*\*\*

## IMPORTANT NOTICE

\*\*\*\*\*

Effective November 16, 1997, the Examiner handling this application will be assigned to a new Art Unit as a result of the consolidation into Technology Center 2700. See the forth coming Official Gazette notice dated November 11, 1997. For any written or facsimile communication submitted **ON OR AFTER** November 16, 1997, this Examiner, who was assigned to Art Unit 2413, will be assigned to Art Unit 2785. Please include the new Art Unit in the caption or heading of any communication submitted after the November 16, 1997 date. Your cooperation in this matter will assist in the timely processing of the submission and is appreciated by the Office.



375. 201  
65. 205  
403. 207  
A1  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NO.	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
-----------------	-------------	-----------------------	------------------------

08/595,957 02/06/96 COLEY

C 025553-013

0212/0328

BURNS DOANE SWECKER & MATHIS  
P O BOX 1404  
ALEXANDRIA VA 22313-1404

DATE MAILED: 0000

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

03/28/96

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for large entities or \$ 65 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 165.00.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. ☒ The statutory basic filing fee is: ☒ missing ☐ insufficient. Applicant as a ☒ large entity ☐ small entity, must submit \$ 130 to complete the basic filing fee.

2. ☒ Additional claim fees of \$ 26.00 as a ☒ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

3. ☒ The oath or declaration:  
☒ is missing.  
☐ does not cover items omitted at time of execution.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.

4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. ☐ The signature(s) to the oath or declaration is/are: ☐ missing; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:

\_\_\_\_\_. An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ \_\_\_\_\_ under 37 CFR 1.17(k), unless this fee has already been paid.

8. ☐ A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).

9. ☐ Your filing receipt was mailed in error because your check was returned without payment.

10. ☐ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.

11. ☐ Other.

Direct the response and any questions about this notice to, Attention: Application Processing Division, Special Processing and Correspondence Branch (703) 308-1202.

**A copy of this notice MUST be returned with the response.**